

# Ultraproducts and Lie algebras: some possible interactions

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Mathematics***

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## Seminar's aim

We want to illustrate the role of the ultraproducts played in two research projects which concern respectively:

- 1 The decidability of some representations of the universal enveloping algebra,  $U_k$ , of  $sl_2(k)$   
(S.L., A. Macintyre).
- 2 Some possible exponentiations over  $U = U_{\mathbb{C}}$   
(S.L., A. Macintyre, F. Point).

# Outline

## 1 Our Setting

## 2 Decidability and $U_k$ -modules

## 3 Exponentiation over $U = U_C$

## 4 References

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## Our setting

Let  $k$  be an algebraically closed field of characteristic 0.

Consider the simple **Lie algebra**  $sl_2(k)$  of

all  $2 \times 2$  trace 0 matrices over  $k$

with the bracket operation  $[x, y] = xy - yx$ .

Recall that a basis of  $sl_2(k)$  is

$$x = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

So,  $[x, y] = h$ ,  $[h, x] = 2x$ ,  $[h, y] = -2y$ .

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Let  $U_k$  denote the universal enveloping algebra of  $sl_2(k)$ .

## Definition

A **universal enveloping algebra** of  $sl_2(k)$  over  $k$  is

an associative algebra (with a unit)  $U_k$  with  
a (Lie algebra) homomorphism  $i : sl_2(k) \rightarrow U_k$  such that  
if  $A$  is any associative  $k$ -algebra with the homomorphism

$$f : sl_2(k) \rightarrow A,$$

then exists a unique homomorphism:

$$\Theta : U_k \rightarrow A$$

such that the diagram

$$\begin{array}{ccc} sl_2(k) & \rightarrow & U_k \\ \downarrow & \swarrow & \\ A & & \end{array}$$

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We will use these algebraic properties of  $U_k$ :

- $U_k$  has a  $\mathbb{Z}$ -graded  $k$ -algebra. Let  $U_{k,m}$  be the subalgebra of elements of grade  $m$ . We have

$$U_k = \bigoplus_{m \in \mathbb{Z}} U_{k,m};$$

$$\text{for } m > 0, U_{k,m} = x^m U_{k,0} = U_{k,0} x^m;$$

$$\text{for } m < 0, U_{k,m} = y^{|m|} U_{k,0} = U_{k,0} y^{|m|}.$$

- A key role is played by the **Casimir operator** of  $U_k$ :

$$c = 2xy + 2yx + \hbar^2$$

which generates the center of  $U_k$

- By PBW basis of  $U_k$ , we can see that the 0-component of  $U_k$

$$U_{k,0} = k[c, \hbar]$$

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$$U_{k,0} = k[c, h]$$

## Simple finite dim. representations

Let  $\lambda$  be a positive integer number.

Any simple  $(\lambda + 1)$ -dim.  $sl_2(k)$ -module  $V_\lambda$  decomposes as a direct sum

$$V_\lambda = \bigoplus_{j=0}^{\lambda} V_{\lambda,j}$$

of eigenspaces  $V_{\lambda,j} = \{v \in V_\lambda : hv = (\lambda - 2j)v\}$  of  $h$ , called the *weight spaces* of  $V_\lambda$  and denoted  $\text{Ker}(h - (\lambda - 2j))$ .

$V_{\lambda,0} = \{v \in V_\lambda : hv = \lambda v \text{ and } xv = 0\}$ , often denoted  $\text{Ker}(x)$  and called *highest weight space* of  $V_\lambda$

$V_{\lambda,\lambda} = \{v \in V_\lambda : hv = -\lambda v \text{ and } yv = 0\}$ , often denoted  $\text{Ker}(y)$  and called *lowest weight space* of  $V_\lambda$ .

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On the language of left  $U_k$ -modules, we focus on suitable linear transformation of  $V_\lambda$ .

We consider

- 1 the ring of definable scalars,  $U'_k$ , of all simple finite dimensional  $U_k$ -modules whose elements are pp-definable endomorphisms of each  $V_\lambda$ .
- 2 As proved by Herzog,  $U'_k$  is von Neuman regular ring.

## Pseudo-finite dim. representations

Let  $Th(L\text{-}fd)$  denote the theory of the class of finite dim. representations of  $U_k$ .

A representation  $M$  of  $U_k$  is called **pseudo-finite dimensional** (from now on **PFD**) iff

$$M \models Th(L\text{-}fd)$$

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## General fact

$M$  is PFD if and only if  $M$  is elementary equivalent to an ultraproduct of finite dimensional modules.

We discuss some aspects of ultraproducts of finite dimensional modules.

## Property

For  $M$  PFD  $U_k$ -module,  $Cas(M)$  may be  $\{0\}$ , where

$$Cas(M) = \{\lambda : Ker(c - (\lambda^2 + 2\lambda)) \neq 0\}.$$

To see this, take  $M$  equal to the ultraproduct  $\prod_{\lambda \in \mathbb{N}} V_\lambda / D$ , where  $D$  is nonprincipal.

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## Our aim.

We want to prove the decidability of the theory of PFD-modules.

## The main strategy

Since  $U'_k$  is von Neumann regular ring, we should prove that  $U'_k$  is recursive.

### Main result

We construct explicitly a commutative extension of  $U_{k,0}$  assuming some plausible conjectures about the decision problem for integer points on curves.

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### Main result

We construct explicitly a commutative extension of  $U_{k0}$  *assuming some plausible conjectures about the decision problem for integer points on curves.*

## Main idea for constructing $U'_k$

We focus on  $U_{k,0}$ .

The heart of the matter is the generation of idempotents, and especially those corresponding to the kernels of elements of  $U_{k,0}$ .

$\forall p \in U_{k,0}$  and  $\forall M \in \text{FinDim}$ , define  
 $\text{Ker}(p) = \{m \in M : p \cdot m = 0\}$ .

### Our first idempotents

$$e_p \text{ and } 1 - e_p$$

are the projections respectively onto  $\text{Ker}(p)$  and  $\text{Image}(p)$  relative to  $M$ .

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To generate other idempotents, it is enough to study the solutions of the equation:

$$p(\lambda^2 + 2\lambda, \lambda - 2j) = 0.$$

We will call  $p \in U_{k,0}$  **standard** iff there are finitely many solutions of

$$p(\lambda^2 + 2\lambda, \lambda - 2j) = 0 \quad \forall M \in \text{FinDim},$$

$p$  **nonstandard** if there are infinitely many solutions.

### $p$ as affine curve

Let  $p \in U_{k,0}$ , so  $p = p(c, h)$  where  $p(x_1, x_2) \in k[x_1, x_2]$ .  
Consider the affine plane curve  $\mathcal{C}_p$  defined by  $p(x_1, x_2) = 0$ .

We use some methods from **diophantine geometry**.

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## Exponentiation

Restrict our attention on  $\mathbb{C}$ . Let  $U = U_{\mathbb{C}}$ .

**Our aim** We define some possible exponentiations over  $U$ .

- 1 First, we describe the exponential map

$$\text{EXP}_{\lambda} : U \longrightarrow \text{GL}_{\lambda+1}(\mathbb{C})$$

for each  $\lambda \in \omega - \{0\}$ .

- 2 Then, we discuss the exponential map

$$\text{EXP} : U \rightarrow \prod_{\mathcal{V}} \text{GL}_{\lambda+1}(\mathbb{C})$$

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## Our strategy

We will use:

- The *matrix characterization* of every simple  $U$ -modules  $V_{\lambda}$  by the map  $\Theta_{\lambda} : U \rightarrow M_{\lambda+1}$  (where  $M_{\lambda+1} = \text{End}(V_{\lambda})$ ).
- the natural matrix exponential map defined over  $M_{\lambda+1}(\mathbb{C})$

$$\exp : M_{\lambda+1}(\mathbb{C}) \longrightarrow GL_{\lambda+1}(\mathbb{C})$$

such that  $\forall A \in M_{\lambda+1}(\mathbb{C})$ ,

$$\exp(A) = \sum_{n=0}^{\infty} \frac{A^n}{n!} = I_{\lambda+1} + A + \frac{A^2}{2} + \frac{A^3}{3!} + \dots$$

where  $I_{\lambda+1}$  denote the  $(\lambda + 1) \times (\lambda + 1)$  identity matrix.

## Definition: the map $\text{EXP}_{\lambda}$

Let  $\lambda \in \omega - \{0\}$  (later  $\lambda$  will range in  $\omega$ ).

We can define a **new exponential map** over  $U$ :

$$\text{EXP}_{\lambda} : U \xrightarrow{\Theta_{\lambda}} M_{\lambda+1}(\mathbb{C}) \xrightarrow{\exp} GL_{\lambda+1}(\mathbb{C})$$

$$\text{EXP}_{\lambda}(u) = \exp(\Theta_{\lambda}(u)), \quad \forall u \in U.$$

## Proposition

We can prove that the map  $\text{EXP}_{\lambda}$  is surjective.

## Question.

Which is the value of  $\text{EXP}_{\lambda}(u)$  for every  $u \in U$ ? What is its kernel?

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Because of the intrinsic characterization of  $U$ , we are not able to give immediately a satisfactory answer.

But, we can easily calculate:

$$\begin{aligned} \text{EXP}_{\lambda}(x) &= \exp(\Theta_{\lambda}(x)) = \exp(X_{\lambda+1}) = \\ &= 1_{\lambda+1} + X_{\lambda+1} + \frac{X_{\lambda+1}^2}{2} + \dots + \frac{X_{\lambda+1}^{\lambda}}{\lambda!}; \end{aligned}$$

$$\begin{aligned} \text{EXP}_{\lambda}(y) &= \exp(\Theta_{\lambda}(y)) = \exp(Y_{\lambda+1}) = \\ &= 1_{\lambda+1} + Y_{\lambda+1} + \frac{Y_{\lambda+1}^2}{2} + \dots + \frac{Y_{\lambda+1}^{\lambda}}{\lambda!}; \end{aligned}$$

$$\begin{aligned} \text{EXP}_{\lambda}(h) &= \exp(\Theta_{\lambda}(h)) = \exp(H_{\lambda+1}) = \\ &= \text{diag}(e^{\lambda}, e^{\lambda-2}, \dots, e^{-\lambda+2}, e^{-\lambda}); \end{aligned}$$

$$\begin{aligned} \text{EXP}_{\lambda}(c) &= \exp(\Theta_{\lambda}(c)) = \exp(\text{diag}(\lambda^2 + 2\lambda, \dots, \lambda^2 + 2\lambda)) = \\ &= \text{diag}(e^{\lambda^2+2\lambda}, \dots, e^{\lambda^2+2\lambda}) \end{aligned}$$

About our question, first let us observe:

## Lemma

Let  $U = \bigoplus_{m \in \mathbb{Z}} U_m$ . We can prove that  $\Theta_\lambda$  maps:

- (i) any element  $u_0$  of  $U_0 = \mathbb{C}[c, h]$  onto a diagonal matrix,
- (ii) any element  $u_m \in U_m$  of positive degree  $m$ ,  $u_m = x^m u_0$  (with  $u_0 \in U_0$ ), onto the upper triangular matrix (with  $l = (\lambda + 1) - m$  nonzero complex entries  $\star_j$ ) if  $m \leq \lambda$ :

$$\begin{pmatrix} 0 & 0 & \star_1 & 0 \dots & 0 \\ 0 & 0 & 0 & \star_2 \dots & 0 \\ \vdots & \vdots & 0 & & \star_l \\ \vdots & \vdots & & 0 & 0 \\ 0 & 0 & \dots & 0 & 0 \end{pmatrix}$$

otherwise (when  $m \geq \lambda + 1$ )  $\Theta_\lambda(u_m)$  is null.

A similar thing involves any element of negative degree  $-m$ .

## Remark

Any element  $u_0 \in U_0$  belongs to the kernel of  $\text{EXP}_{\lambda}$  if and only if

$$\bigwedge_{0 \leq j \leq \lambda} p(\lambda^2 + 2\lambda, \lambda - 2j) \in 2\pi i\mathbb{Z}$$

We can get a partial answer to our question.

## Proposition

$\text{EXP}_{\lambda}$  maps any element  $u$  of  $U$  onto  $SL_{\lambda+1}(\mathbb{C})$  if the following condition is satisfied

$$\text{tr}(\Theta_{\lambda}(u)) \in 2\pi i\mathbb{Z}.$$

In particular, if  $u \in \bigoplus_{m \neq 0} U_m$ , then its image by  $\text{EXP}_{\lambda}$  lies always in  $SL_{\lambda+1}(\mathbb{C})$ .

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## A further aim

Let  $\mathcal{V}$  be a non-principal ultrafilter on  $\omega$  and consider the ultraproducts  $\prod_{\mathcal{V}} M_{\lambda+1}(\mathbb{C})$  and  $\prod_{\mathcal{V}} GL_{\lambda+1}(\mathbb{C})$  as structures on the language of Lie algebras.

We will focus on the map EXP from  $U$  to  $\prod_{\mathcal{V}} GL_{\lambda+1}(\mathbb{C})$  defined as follows:

$$\text{EXP} : U \rightarrow \prod_{\mathcal{V}} GL_{\lambda+1}(\mathbb{C})$$

$$u \rightarrow [\text{EXP}_{\lambda}(u)]_{\mathcal{V}} \quad \forall u \in U$$

by composing the injective map  $[\Theta_{\lambda}] : U \rightarrow \prod_{\mathcal{V}_{\lambda}} M_{\lambda+1}(\mathbb{C})$ , with the map  $[\text{exp}]_{\mathcal{V}} : \prod_{\mathcal{V}_{\lambda}} M_{\lambda+1}(\mathbb{C}) \rightarrow \prod_{\mathcal{V}} GL_{\lambda+1}(\mathbb{C})$ .

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Note that  $EXP$  satisfies the properties stated for each  $EXP_{\lambda}$ .  
Moreover,

- $EXP(\bigoplus_{m \neq 0} U_m) \subset \prod_{\mathcal{V}} SL_{\lambda+1}(\mathbb{C})$ ;
- $EXP(U_0) \subset \prod_{\mathcal{V}} Diag_{\lambda+1}(\mathbb{C})$ .



## Connection with standard and nonstandard idempotents

Let  $U'$  be the ring of definable scalars of  $U$  (described at the beginning).

$\forall p = p(c, h) \in U_0$ , let  $e_p$  denote the idempotent corresponding to the projection on  $\text{Ker}(\Theta_\lambda(u))$  on  $V_\lambda$  ( $\forall \lambda \in \omega$ ).

By using all results obtained in this setting, we can observe:

- 1 if  $p \in U_0$  is standard, then  $[\Theta_\lambda(u_0)]$  is invertible in  $\prod_{V_\lambda} M_{\lambda+1}(\mathbb{C})$ .
- 2 if  $p$  is non-standard, so for some non-principal ultrafilter the image of  $e_p$  in the ultraproduct will be of the form  $[e_p] = [(\text{diag}(0, 1, 1, \dots, 1, \dots, 1, 1, 0))]$ .

### Question

Which elements of  $U'$  can we identify in  $\prod_{V_\lambda} M_{\lambda+1}(\mathbb{C})$ ?

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We focus on the following query.

## Question

What is the kernel of EXP?

## Proposition

Let  $p = p(c, h) \in U_0$ , with  $p(x_1, x_2) \in \mathbb{C}[x_1, x_2]$ . Write  $p(x_1, x_2)$  in the form  $\frac{1}{2\pi i} q(x_1, x_2)$ . Then, if  $p \in \ker(\text{EXP})$ , then  $q(x_1, x_2) \in \mathbb{Q}[x_1, x_2]$ .

## Proof

Let  $q[x_1, x_2] = \sum_{k=0}^d q_k[x_1] \cdot x_2^k$  and assume that  $q(c, h) \in \ker(\text{EXP})$ . Then, the set  $\{\lambda \in \omega : \bigwedge_{0 < 2j < \lambda} q(\lambda^2 + \lambda, \lambda - 2j) \in 2 \cdot \pi \cdot i \cdot \mathbb{Z}\} \in V_\lambda$  (\*). Note that it is enough to express hypothesis (\*) for  $\lambda > d$ .

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What is the kernel of EXP?

## Proposition

Let  $p = p(c, h) \in U_0$ , with  $p(x_1, x_2) \in \mathbb{C}[x_1, x_2]$ . Write  $p(x_1, x_2)$  in the form  $\frac{1}{2\pi i} q(x_1, x_2)$ . Then, if  $p \in \ker(\text{EXP})$ , then  $q(x_1, x_2) \in \mathbb{Q}[x_1, x_2]$ .

## Proof

Let  $q[x_1, x_2] = \sum_{k=0}^d q_k[x_1] \cdot x_2^k$  and assume that  $q(c, h) \in \ker(\text{EXP})$ . Then, the set  $\{\lambda \in \omega : \bigwedge_{0 \leq 2j \leq \lambda} q(\lambda^2 + \lambda, \lambda - 2j) \in 2 \cdot \pi \cdot i \cdot \mathbb{Z}\} \in V_\lambda$  (\*). Note that it is enough to express hypothesis (\*) for  $\lambda > d$ .

## Proposition

Let  $p$  be as above where  $q(x_1, x_2) = \sum_{k=0}^d q_k(x_1)x_2^k$ , with  $q_k(x) \in \mathbb{Q}[x_1]$ . Then,  $p \in \text{Ker}(\text{EXP})$  for all non-principal ultrafilter  $\mathcal{V}$  if and only if  $q(x_1, x_2) \in \mathbb{Q}[x_1, x_2]$  and for each  $0 \leq k \leq d$ ,  $q_k(0) \in \mathbb{Z}$ .

## Further questions

We would like to put a topology on  $U$  in such a way that  $\text{EXP}$  is continuous.

- 1 Does  $U$  embed as a closed subspace of  $\prod_{V_\lambda} M_{\lambda+1}(\mathbb{C})$ ?
- 2 Can we put on  $\prod_{V_\lambda} GL_{\lambda+1}(\mathbb{C})$  (respectively on  $\text{EXP}(U)$ ) the structure of a *Lie group*, or simply of a topological group? Is  $\text{EXP}(U)$  connected?

## Proposition

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# Outline

## 1 Our Setting

## 2 Decidability and $U_k$ -modules

## 3 Exponentiation over $U = U_C$

## 4 References



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