

Ultrafilters, Closure operators and the Axiom of Choice

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It is well known that, in a topological space, the open sets can be characterized using filter convergence. In **ZF**, we cannot replace filters by ultrafilters. It can be proven that the ultrafilter convergence determines the open sets for every topological space if and only if the *Ultrafilter Theorem* holds. More, we can also prove that the Ultrafilter Theorem is equivalent to the fact that $u_X = k_X$ for every topological space X , where k is the usual

Kuratowski closure operator and u is the ultrafilter closure, with

$$u_X(A) := \{x \in X : (\exists \mathcal{U} \text{ ultrafilter in } X)[\mathcal{U} \text{ converges to } x \text{ and } A \in \mathcal{U}]\}.$$

These facts arise two different questions that we will try to answer in this talk.

1. Under which set theoretic conditions the equality $u = k$ is true in some subclasses of topological spaces, such as first countable spaces, metric spaces or $\{\mathbb{R}\}$.
2. Is there any topological space X for which $u_X \neq k_X$, but the open sets are characterized by the ultrafilter convergence?

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every filter over a set can be extended to an ultrafilter.

CUF – **Countable Ultrafilter Theorem**:

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CUF(\mathbb{R}) – the Ultrafilter Theorem holds for filters in \mathbb{R} with a countable base.

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CC – the **Axiom of Countable Choice**.

Every countable family of non-empty sets has a choice function.

Topological spaces

(X, \mathcal{T}) – topological space $A \subseteq X$

Theorem 1 [ZFC]

$$x \in \overline{A} \iff (\exists \mathcal{U} \text{ ultrafilter in } X) [\mathcal{U} \rightarrow x \text{ and } A \in \mathcal{U}]$$

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Theorem 1 For all X , $u_X = k_X$.

Theorem 2 For all X , $\hat{u}_X = k_X$.

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The Ultrafilter Theorem is not equivalent to $u = \hat{u}$.

Diagonal Ultrafilter

UX – the set of all ultrafilters in X .

Let $\mathfrak{X} \in U^2X$ and $\mathcal{U} \in UX$, $\mathfrak{X} \rightarrow \mathcal{U}$ if
for all $A \in \mathbb{U}$, $\{\mathcal{U} \in UX : (\exists x \in A)[\mathcal{U} \rightarrow x]\} \in \mathfrak{X}$.

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$$m_X(\mathfrak{X}) := \{A \subseteq X : \mathfrak{X} \in U^2A\}$$

Proposition [ZF] $\mathfrak{X} \rightarrow \mathcal{U} \rightarrow x \implies m_X(\mathfrak{X}) \rightarrow x$

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then there is a topological space where the ultrafilter closure is not idempotent.

Is there any model of **ZF** where these three conditions are satisfied?

Other classes

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- (i) $u = \kappa$ in the class of the first countable spaces;
- (ii) $\hat{u} = \kappa$ in the class of the first countable spaces;
- (iii) $u = \kappa$ in the class of the metric spaces;

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The following conditions are equivalent to **CUF**:

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- (ii) $\hat{u} = k$ in the class of the first countable spaces;
- (iii) $u = k$ in the class of the metric spaces;
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CC+ \mathbb{N} has a free ultrafilter \implies **CUF**

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- (i) $u_{\mathbb{R}} = k_{\mathbb{R}}$;
- (ii) $u = k$ in the class of the second countable T_0 -spaces;
- (iii) $\hat{u} = k$ in the class of the second countable T_0 -spaces.

AC(\mathbb{R}) \Rightarrow **CC**(\mathbb{R}) + \mathbb{N} has a free ultrafilter \Rightarrow **CUF**(\mathbb{R})