

How to measure the size of sets

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Definition

A Counting System is a triple $(\mathcal{W}, \mathfrak{s}, \mathcal{N})$ where:

- \mathcal{W} is a nonempty class of sets which might have some structure and which is closed for the following operations:
 - (a) $A \in \mathcal{W}$ and $B \subset A \Rightarrow B \in \mathcal{W}$,
 - (b) $A, B \in \mathcal{W} \Rightarrow A \uplus B \in \mathcal{W}$,
 - (c) $A, B \in \mathcal{W} \Rightarrow A \times B \in \mathcal{W}$.
- \mathcal{N} is a **linearly ordered** class whose elements will be called numbers (or \mathfrak{s} -numbers if we need to be more precise).

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- $\mathfrak{s} : \mathcal{W} \rightarrow \mathcal{N}$ is a **surjective** function which satisfies the following assumptions:

- ▶ (i) **Unit principle:** If A and B are singleton, then $\mathfrak{s}(A) = \mathfrak{s}(B)$
- ▶ (ii) **Monotonicity principle:** $A \subseteq B \Rightarrow \mathfrak{s}(A) \leq \mathfrak{s}(B)$
- ▶ (iii) **Union principle:** Suppose that $A \cap B = \emptyset$ and $A' \cap B' = \emptyset$; then, if

$$\mathfrak{s}(A) = \mathfrak{s}(A') \quad e \quad \mathfrak{s}(B) = \mathfrak{s}(B')$$

we have that

$$\mathfrak{s}(A \uplus B) = \mathfrak{s}(A' \uplus B')$$

- ▶ (iv) **Cartesian product principle:** If

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The number $\mathfrak{s}(A)$ is called **size** of A .

Example

$$(\mathbf{Fin}, |\cdot|, \mathbb{N})$$

where

- **Fin** is the class of finite sets
- $|\cdot|$ is the "number of elements" of a set
- \mathbb{N} is the set of natural numbers

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AP - Aristotle's Principle.

If A is a proper subset of B then $\aleph(A) < \aleph(B)$,

and

CP - Cantor's Principle

$\aleph(A) = \aleph(B)$ if and only if A is in 1-1 correspondence with B .

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This is not possible, in fact

Theorem

A counting system $(\mathcal{W}, \mathfrak{s}, \mathcal{N})$ satisfies the Cantor and the Aristotle principles if and only if $\mathcal{W} \subset \mathbf{Fin}$ and $\mathcal{N} = \mathbb{N}$.

However, if we weaken one of these two principles, it is possible to get counting systems that lead to interesting theories

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- (Weak Aristotle's Principle): If A is a proper subset of B then $\mathfrak{s}(A) \leq \mathfrak{s}(B)$.

Definition

A counting system $(\mathcal{W}, \mathfrak{s}, \mathcal{N})$ is called Aristotelian if it satisfies the Aristotle principle AP and the weak Cantor principle

- (Weak Cantor's Principle): If $\mathfrak{s}(A) = \mathfrak{s}(B)$, then A is in 1-1 correspondence with B .

Cantorian Counting Systems:

Essentially there is only one Cantorian Counting System

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CARDINAL NUMBERS

(**Set**, $|\cdot|$, **Card**)

where

- **Set** is the class of all sets
- $|\cdot|$ is the cardinality of a set
- **Card** is the class of cardinal numbers

Ordinal Numbers:

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(**Woset**, *ord*, **Ord**)

where

- **Woset** is the class of well ordered sets
- *ord* is the order type of a set
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The Ordinal Numbers form a Counting System which does not satisfy the Cantor Principle, nor the Aristotle principle; however they are a bridge between the Cantorian and the Aristotelian counting theories.

Aristotelian Counting Systems:

Definition

A Numerosity System is an Aristotelian Counting System $(\mathcal{W}, n, \mathcal{N})$ such that

$$\mathcal{N} \subset \mathcal{R}^+ \cup \{0\}$$

where \mathcal{R} is an ordered field.

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The number $n(A)$ is called **numerosity** of A and n is called numerosity function.

Thus a numerosity function is a measure of the size of a set which satisfies good algebraic properties.

The class of labelled sets

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Definition

A labelled set \mathbf{A} is a pair (A, ℓ) where A is a set and

$$\ell : A \rightarrow \mathbf{Ord}$$

is an application such that $\forall \gamma \in \mathbf{Ord}$, the set $\ell^{-1}(\gamma)$ is finite. The class of labelled sets will be denoted by \mathbf{Lset}

Well ordered set vs. labelled sets

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When you "count" the elements of a set by an numerosity function, you order the elements of a set in in a "long line" of finite piles and you allow to have empty spaces.

Namely the notion of labelled set is an obvious extension of the notion of well ordered set.

The well ordered sets have a natural labelling given by their ordering.
The ordinal numbers have the labelling given by the identity

$$\ell(x) = x, \quad \forall x \in \mathbf{Ord}.$$

Thus

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The class of labelled sets whose label is less than $\Omega \in \mathbf{Ord}$ will be denoted by $\mathcal{W}(\Omega)$.

$\mathcal{W}(\omega)$ is called the class of Natural Labelled Set since ω can be identified with the set of natural numbers \mathbb{N} .

Numerosity of Natural Labelled Sets

Definition

To every natural labelled set $\mathbf{A} = (A, \ell)$, we associate the **counting function**

$$\varphi_{\mathbf{A}} : \omega \rightarrow \mathbb{N}$$

defined as follows

$$\varphi_{\mathbf{A}}(n) = |\{x \in A \mid \ell(x) \leq n\}|. \quad (1)$$

Theorem

Let \mathbb{N}^* be a model of the hypernatural numbers constructed over a selective ultrafilter \mathcal{U} and let

$$n : \mathcal{W}(\omega) \rightarrow \mathbb{N}^* = \mathbb{N}^\omega / \mathcal{U}$$

be a function defined as follows

$$n(\mathbf{A}) = [\varphi_{\mathbf{A}}]_{\mathcal{U}}. \quad (2)$$

Then, $(\mathcal{W}(\omega), n, \mathbb{N}^*)$ is a Numerosity System.

Remark

Nonstandard models of \mathbb{N} arise in a natural way from numerosity theories.

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Numerosity theories select special kinds of ultrafilters ; for example the above theorem is true if \mathcal{U} is a *selective* ultrafilter. Otherwise we would have $\mathcal{N} \subset \mathbb{N}^*$.

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The main difficulty is to extend the notion of numerosity function

$$\varphi_A : \omega \rightarrow \mathbb{N}, \varphi_A(n) = |\{x \in A \mid \ell(x) < n\}|$$

to a function

$$\varphi_A : \Omega \rightarrow \mathbb{N}$$

Clearly an immediate generalization does not work.

We shall overcome this difficulty introducing a new order relation. Given two ordinals $x, y \in \mathbf{Ord}$, using the Cantor normal form they can be written as follows:

$$x = \sum_{i=0}^N \omega^{\gamma_i} x_i;$$

$$y = \sum_{i=0}^N \omega^{\gamma_i} y_i;$$

$$x_i, y_i \in \omega; \gamma_i \in \mathbf{Ord}$$

We set

$$x \vee y \quad : \quad = \sum_{i=0}^N \omega^{\gamma_i} \cdot \max \{x_i, y_i\}$$

and

$$x \wedge y \quad : \quad = \sum_{i=0}^N \omega^{\gamma_i} \cdot \min \{x_i, y_i\}$$

In this way, **Ord** is equipped with a lattice structure. Now, we can introduce a partial order relation " \sqsubseteq " which exploits this lattice structure:

$$x \sqsubseteq y :\Leftrightarrow x = x \wedge y \Leftrightarrow y = x \vee y.$$

Thus, given the two ordinals (19), we have that

$$x \sqsubseteq y :\Leftrightarrow x_i \leq y_i, \quad i = 1, \dots, N$$

We define the sum of two labelled sets $\mathbf{A}_1 = (A_1, \ell_1)$ and $\mathbf{A}_2 = (A_2, \ell_2)$, as follows:

$$\mathbf{A}_1 \uplus \mathbf{A}_2 = (A_1 \cup A_2, \ell)$$

where \uplus denotes their union and the labelling ℓ is defined as follows:

$$\ell(x) = \begin{cases} \ell_1(x) & \text{if } x \in A_1 \\ \ell_2(x) & \text{if } x \in A_2 \end{cases} \quad (3)$$

The product between two labelled sets $[A_1, \ell_1]$ and $[A_2, \ell_2]$, is defined as follows as follows:

$$\mathbf{A}_1 \times \mathbf{A}_2 = (A_1 \times A_2, \ell(x_1, x_2))$$

where

$$\ell_1(x_1, x_2) = \ell_1(x_1) \vee \ell_2(x_2)$$

Thus the class $\mathcal{W}(\Omega)$ is closed for union and cartesian product.

Using this order relation it is possible to generalize the notion of counting function as follows: if $\mathbf{A} \in \mathcal{W}(\Omega)$

$$\varphi_{\mathbf{A}} : \Omega \rightarrow \mathbb{N}$$

is defined as follows

$$\varphi_{\mathbf{A}}(\gamma) = |\{x \in A \mid \ell(x) \sqsubseteq \gamma\}|. \quad (4)$$

Theorem

There is a numerosity system

$$\{\mathcal{W}(\Omega), \text{num}, \mathcal{N}(\Omega)\}$$

such that

$$\mathcal{N}(\Omega) \subset \mathbb{R}^{\otimes}(\Omega)$$

where

$$\mathbb{R}^{\otimes}(\Omega) = \mathbb{R}^{\Omega} / \mathcal{U}$$

and

$$\text{num}(\mathbf{A}) = [\varphi_{\mathbf{A}}]_{\mathcal{U}}.$$

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The main technicality of the proof consists in constructing a suitable ultrafilter \mathcal{U} .

Ordinal numbers and numerosities

The ordinal numbers, are not an Aristotelian Counting System since they violate the Aristotle principle, nevertheless they satisfy good arithmetic properties with respect to the natural operations \oplus and \otimes .

$$\xi = \sum_{j=0}^n \omega^{\beta_j} a_j; \quad \zeta = \sum_{j=0}^n \omega^{\beta_j} b_j$$

$$\xi \oplus \zeta = \sum_{j=0}^n \omega^{\beta_j} (a_j + b_j)$$

$$\xi \otimes \zeta = \sum_{i,j=0}^n \omega^{\beta_i \oplus \beta_j} a_i b_j$$

Thus they must be strictly related to a numerosity theory.

The numerosity function provides a natural embedding

$$\text{num} : \Omega \rightarrow \mathcal{N}(\Omega) \subset \mathbb{R}^*(\Omega) \quad (5)$$

which associates to each Von Neumann ordinal number $\gamma \in \Omega$ its numerosity $\hat{\gamma} = \text{num}(\gamma)$.

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Theorem

If $\beta, \gamma \in \Omega$, then

- $\text{num}(\beta \oplus \gamma) = \hat{\beta} + \hat{\gamma}$
- $\text{num}(\beta \otimes \gamma) = \hat{\beta} \cdot \hat{\gamma}$

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So we have that

$$\mathcal{N}(\Omega) = \mathcal{B}(\bar{\Omega}) / \approx$$

where $\bar{\Omega}$ is a suitable ordinal number larger than Ω and $\mathcal{B}(\bar{\Omega})$ is the family of bounded subsets of $\bar{\Omega}$.

We would like to give a meaning to infinite sums of the type

$$\sum_{j \in \Omega} \xi_j, \quad \xi_j \in \mathbb{R}^{\otimes}(\Omega) \quad (6)$$

and to have that

$$\text{num}(E) = \sum_{j \in \Omega} |E_j| \quad (7)$$

where $E \in \mathcal{W}(\Omega)$ and $E_j = \{x \in E : \ell(x) = j\}$.

Proposition

There exists an operator $\sigma : \mathbb{R}^*(\Omega) \rightarrow \mathfrak{F}(\Omega, \mathbb{R})$ such that

- (it is a ring homomorphism) for every $\xi, \eta \in \mathbb{R}^*(\Omega)$,

$$\sigma(\xi + \eta) = \sigma(\xi) + \sigma(\eta);$$

$$\sigma(\xi \cdot \eta) = \sigma(\xi) \cdot \sigma(\eta);$$

- (it is a ring section) $J_\Omega \circ \sigma = \text{identity}$ where

$$J_\Omega : \mathfrak{F}(\Omega, \mathbb{R}) \rightarrow \mathbb{R}^*(\Omega)$$

is the ring homomorphism defined by

$$J_\Omega(\varphi) = [\varphi]_{\mathcal{U}}.$$

Now for every $j \in \Omega$, we define

$$\delta_j : \Omega \rightarrow \mathbb{N}$$

$$\delta_j(x) = \begin{cases} 1 & \text{if } x \sqsupseteq j \\ 0 & \text{otherwise} \end{cases}$$

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Also this proposition is a consequence of the ultrafilter which we have chosen

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By the above definitions, we have that $\xi = J_{\Omega}(\xi\delta_j)$ and hence, if $I \subset \Omega$ is a finite set,

$$\sum_{j \in I} \xi_j = J_{\Omega} \left(\sum_{j \in I} \xi_j \delta_j \right)$$

This fact suggests to generalize this equation to the case in which I is infinite:

Definition

Given $\xi_j \in \mathbb{R}^*(\Omega)$, $I \subseteq \Omega$, we set

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Equation (8) makes sense, in fact, for any $x \in \Omega$

$$\sum_{j \in I} \xi_j(x) \delta_j(x) = \sum_{j \in I; j \sqsubseteq x} \xi_j(x)$$

and this is a finite sum since the set of j 's $\sqsubseteq x$ is finite.

The next theorem describes the main properties satisfied by the infinite sum:

Theorem

The infinite sum satisfies the following properties:

- (i) (finite associative property) $\sum_{j \in I} \xi_j + \sum_{j \in I} \zeta_j = \sum_{j \in I} (\xi_j + \zeta_j)$
- (ii) (distributive property) $\zeta \sum_{j \in I} \xi_j = \sum_{j \in I} \zeta \xi_j$
- (iii) (partial sum) if $r_j \in \mathbb{R}$, then $\sum_{j \in \omega \gamma} r_j = J_\Omega(S)$ where where

$$S(x) := \sum_{j \sqsubseteq x} r_j$$

is a "partial sum".

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The infinite sum satisfies the following properties:

- (i) (finite associative property) $\sum_{j \in I} \xi_j + \sum_{j \in I} \zeta_j = \sum_{j \in I} (\xi_j + \zeta_j)$
- (ii) (distributive property) $\zeta \sum_{j \in I} \xi_j = \sum_{j \in I} \zeta \xi_j$
- (iii) (partial sum) if $r_j \in \mathbb{R}$, then $\sum_{j \in \omega \uparrow} r_j = J_\Omega(S)$ where where

$$S(x) := \sum_{j \sqsubseteq x} r_j$$

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- (iv) (hyperfinite sum) if $r_j \in \mathbb{R}$, $j \in \omega$, then $\sum_{j \in \omega} r_j = \sum_{j=0}^{\hat{\omega}} r_j$ where

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- (v) (finite permutation) let $\pi : \omega^\gamma \rightarrow \omega^\gamma$ be a permutation of a finite number of points; then

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- (vi) (translation of indices) if, for $j \in \omega^\gamma$, $\zeta_j = \xi_{\omega^\gamma\beta+j}$, then

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- (vii) (infinite associative property) for any $\gamma \in \Omega$, we have

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The product principle

In set theory and hence in Counting Systems, the idea of product arises from the idea of Cartesian Product. However in elementary Arithmetic the product $m \cdot n$ is thought as the sum of m terms equal to n .

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Thus the most general idea of product of two sets F and E is the following one: we suppose to have a family of sets $E_j, j \in F$, pairwise disjoint, and equinumerous to a set E ; we would like to have

$$\text{num}(F) \cdot \text{num}(E) = \text{num} \left(\bigcup_{j \in F} E_j \right) \quad (9)$$

We may assume that $E, F \subset \Omega \in \mathbf{Ord}$. Then if we assume that

$$\ell(E) \subset \omega^\gamma, \text{ for a fixed } \gamma \in \mathbf{Ord}$$

and we set

$$E_j = \{\omega^\gamma j + x : x \in E\}, \quad j \in F.$$

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Then

$$\forall j \in F, \text{ num}(E_j) = \text{num}(E)$$

and

$$\text{num}(F) \cdot \text{num}(E) = \text{num}\left(\bigcup_{j \in F} E_j\right)$$

holds.

Exponentiation

We can also define an "exponentiation" between labelled sets. Given a function $f : E \rightarrow \gamma$, $\gamma \in \mathbf{Ord}$, the support of f is defined as follows:

$$\text{supp}(f) = \{x \in E : f(x) \neq 0\}$$

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Definition

Given a labelled set $\mathbf{A} = (A, \ell)$ and a function $f : A \rightarrow \gamma$, $\gamma \in \mathbf{Ord}$, we set

$$\gamma^{\mathbf{A}} = \{f \in \mathfrak{F}(A, \gamma) : \text{supp}(f) \text{ is finite}\}$$

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Moreover, for any $f \in \gamma^{\mathbf{A}}$, we set

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In particular, we have that

$$2^{\mathbf{A}} \cong \mathcal{P}_{fin}(\mathbf{A})$$

Theorem

If $\gamma \in \mathbf{Ord}$ and $E \in \mathbf{Lset}$

$$\text{num}(\gamma^E) = \text{num}(\gamma)^{\text{num}(E)}$$

and

$$\text{num}(\mathcal{P}_{\text{fin}}(E)) = 2^{\text{num}(E)}$$

The end

Thank you for your attention!